



OCT 16 2002
JAN 11 16 2002
UNITED STATES PATENT AND TRADEMARK OFFICE

COPY OF DOCUMENTS
ORIGINAL, FILED

SECRET

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/955,548	09/17/2001	Akira Kibashi	JP920000200US1

RF/
IBM Corporation
Intellectual Property Law
5600 Cottle Road (L2PA/0142)
San Jose, CA 95193

CONFIRMATION NO. 9802
FORMALITIES LETTER



OC000000006935330

MISSING Parts Due:

Date Mailed: 10/19/2001

12/19/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

*C
Tsey*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

01/22/2002 NM0HMM1 00000130 090466 09955548

01 FC:105 130.00 CH

COPY OF PAPERS
ORIGINALLY FILED

FORM PTO-1083/Modified

IBM Docket No. JP920000200US1
(PATENT) 3

In re application of: A. Kibashi et al.
 Serial No.: 09/955,548
 Filed 09/17/2001

For C3A
 JAN 16 2002
 "Recording And Playing Back Device, Storage Device, Computer
 Device, Data Processing Method, And Program Sending Device"

THE COMMISSIONER OF PATENTS & TRADEMARKS
 WASHINGTON, DC 20231

SIR:

Transmitted herewith in the above-identified application are:

Amendment
 Declaration and Power of Attorney for Patent Application
 Assignment of the invention to International Business Machines Corporation
 Notice to File Missing Parts of Application -- Filing Date Granted
 No Additional Fee is Required

The fee has been calculated as shown below:

CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDIT. FEE
<u>TOTAL</u> 14	<u>MINUS</u> 20	= 0	x 18 =	\$.00
<u>INDEP.</u> 5	<u>MINUS</u> 3	= 0	x 84 =	\$.00
<u>FIRST PRESENTATION OF MULTIPLE DEP. CLAIM</u>				
			+280 =	\$
			Surcharge \$ 130.00	
			<u>TOTAL</u>	<u>\$ 130.00</u>

Please charge my Deposit Account No. 09-0466 in the amount of \$ 130.00.
 A duplicate copy of this sheet is attached.

The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account 09-0466. A duplicate copy of this sheet is attached.

Any filing fees under 37 CFR 1.16 for the presentation of extra claims.
 Any patent application processing fees under 37 CFR 1.17.

CERTIFICATE OF MAILING

I hereby certify that the above paper/fee is being deposited with the United States Postal service as first class mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, DC 20231 on
10/29/01

Person Mailing paper/fee
Terri Garcia

Signature Terri Garcia

Ron Feece
 Registration No.
 Agent for Applicants
 Telephone (408) 256-2140
 IBM Corporation
 Intellectual Property Law
 Dept. L2PA/142
 5600 Cottle Road
 San Jose, CA 95193

COPY OF PAPERS
ORIGINALLY FILED

FORM PTO-1083/Modified

IBM Docket No. JP920000200US1
(PATENT)

In re application of: A. Kibashi et al.
Serial No.: 09/955,548
Filed: 09/17/2001

"Recording And Playing Back Device, Storage Device, Computer
Device, Data Processing Method, And Program Sending Device"

THE COMMISSIONER OF PATENTS & TRADEMARKS
WASHINGTON, DC 20231

Transmitted herewith in the above-identified application are:

Amendment
 Declaration and Power of Attorney for Patent Application
 Assignment of the invention to International Business Machines Corporation
 Notice to File Missing Parts of Application -- Filing Date Granted
 No Additional Fee is Required

The fee has been calculated as shown below:

CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	ADDIT. RATE FEE
<u>TOTAL</u> 14	<u>MINUS</u> 20	= 0	<u>x 18 = \$.00</u>
<u>INDEP.</u> 5	<u>MINUS</u> 3	= 0	<u>x 84 = \$.00</u>
<u>FIRST PRESENTATION OF MULTIPLE DEP. CLAIM</u>			<u>+280 = \$</u>
			<u>Surcharge \$ 130.00</u>
			<u>TOTAL \$ 130.00</u>

Please charge my Deposit Account No. 09-0466 in the amount of \$ 130.00.
A duplicate copy of this sheet is attached.

The Commissioner is hereby authorized to charge payment of the following fees
associated with this communication or credit any overpayment to Deposit
Account 09-0466. A duplicate copy of this sheet is attached.

Any filing fees under 37 CFR 1.16 for the presentation of extra claims.
 Any patent application processing fees under 37 CFR 1.17.

CERTIFICATE OF MAILING

I hereby certify that the above paper/fee is
being deposited with the United States Postal
service as first class mail in an envelope
addressed to the Commissioner of Patents and
Trademarks, Washington, DC 20231 on
10/29/01

Person Mailing paper/fee
Terri Garcia

Signature Mari

Ron Feece
Registration No.
Agent for Applicants
Telephone (408) 256-2140
IBM Corporation
Intellectual Property Law
Dept. L2PA/142
5600 Cottle Road
San Jose, CA 95193